Recommended Regulations and Standards for Specific Healthcare Settings (2009 update)

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Editor's note: This update supplants the December 2002 practice brief "Recommended Regulations and Standards for Specific Healthcare Settings (Updated)."

HIM skills are applied to a multitude of healthcare settings. This practice brief lists recommended resources for each setting.

Whether honing existing skills or making the transition to a new healthcare setting, acquisition of and thorough familiarization with the regulations and standards listed below is recommended.

Practice Setting: Acute Care/Hospitals

Recommended Resource	Source
Certified inpatient EHRs	Certification Commission for Healthcare Information Technology, www.cchit.org/choose/index.asp
CMS Conditions of Participation for Hospitals (42 CFR 482)	CMS, www.access.gpo.gov/nara/cfr/waisidx_04/42cfr482_04.html
Comprehensive Accreditation Manual for Hospitals	The Joint Commission, www.jointcommission.org/AccreditationPrograms/Hospitals
Comprehensive Accreditation Manual for Critical Access Hospitals	The Joint Commission, www.jointcommission.org/AccreditationPrograms/CriticalAccessHospitals
Conditions of Participation for Patient Rights	CMS, www.cms.hhs.gov/CFCsAndCoPs/downloads/finalpatientrightsrule.pdf IPRO Hospital Patient's Rights, http://consumers.ipro.org/index/hospital-patients-rights
Critical Access Hospitals Center	CMS, www.cms.hhs.gov/center/cah.asp
Healthcare Facilities Accreditation Program	American Osteopathic Association, www.aoa-net.org/Accreditation/HFAP/HFAP.htm
Hospital Outpatient Prospective Payment System	CMS, www.cms.hhs.gov/HospitalOutpatientPPS/HORD/list.asp CMS, www.cms.hhs.gov/HospitalOutpatientPPS/01_overview.asp
Interpretive guidelines for hospitals	CMS, www.cms.hhs.gov/manuals/Downloads/som107ap_a_hospitals.pdf

Interpretive guidelines for swing bed hospitals	CMS, www.cms.hhs.gov/manuals/downloads/som107ap_t_swing_beds.pdf
Long-Term Care Hospitals Prospective Payment System	CMS, www.cms.hhs.gov/LongTermCareHospitalPPS/01_overview.asp
Medicare Benefit Policy Manual	CMS, www.cms.hhs.gov/manuals/Downloads/bp102c01.pdf
Medicare Hospital Inpatient Prospective Payment System	CMS, www.cms.hhs.gov/AcuteInpatientPPS
Medicare Hospital Prospective Payment System	CMS, www.cms.hhs.gov/AcuteInpatientPPS/01_overview.asp
Medicare Program Manuals	CMS, www.cms.hhs.gov/Manuals/IOM/list.asp
Physician Certification and Recertification of Services	CMS, www.cms.hhs.gov/manuals/downloads/ge101c04.pdf
Office of Inspector General Compliance Program Guidance	Department of Health and Human Services Office of Inspector General, http://oig.hhs.gov/authorities/docs/cpghosp.pdf

Practice Setting: Ambulatory and Physician Practice

Recommended Resource	Source
Accreditation Handbook for Ambulatory Health Care	Accreditation Association for Ambulatory Health Care, www.aaahc.org/eweb/StartPage.aspx
Ambulatory surgery center certification and compliance	CMS, www.cms.hhs.gov/CertificationandComplianc/02_ASCs.asp
Ambulatory Surgical Centers Conditions of Coverage	CMS, www.cms.hhs.gov/CFCsAndCoPs/downloads/ambsurgreg.pdf
Certified inpatient EHRs	Certification Commission for Healthcare Information Technology, www.cchit.org/choose/index.asp
CMS Physician Center	CMS, www.cms.hhs.gov/center/physician.asp
CMS Ambulatory Surgical Centers (ASC) Center	CMS, www.cms.hhs.gov/center/asc.asp
Comprehensive Accreditation Manual for Ambulatory Care	The Joint Commission, www.jointcommission.org/AccreditationPrograms/AmbulatoryCare

Documentation Guidelines for E&M Services	CMS, www.cms.hhs.gov/MLNEdWebGuide/25_EMDOC.asp
Medicare Benefit Policy Manual for Comprehensive Outpatient Rehab	CMS, www.cms.hhs.gov/manuals/Downloads/bp102c12.pdf
Medicare Benefit Policy Manual for Rural Health Centers	CMS, www.cms.hhs.gov/manuals/Downloads/bp102c13.pdf
Rural Health Center	CMS, www.cms.hhs.gov/center/rural.asp

Practice Setting: Behavioral Health

Recommended Resource	Source
Behavioral Health Standards Manual	Commission on Accreditation of Rehabilitation Facilities, www.carf.org/providers.aspx?Content=Content/Accreditation/Opportunities/BH/toc.htm
Certification for community mental health	CMS, www.cms.hhs.gov/CertificationandCompliance/03_CommunityHealthCenters.asp
Comprehensive Accreditation Manual for Behavioral Health Care	The Joint Commission, www.jointcommission.org/AccreditationPrograms/BehavioralHealthCare
Conditions of Participation for Drug, Alcohol, and Substance Abuse (42 CFR 2)	National Archives and Record Administration, Code of Federal Regulations, www.access.gpo.gov/nara/cfr/waisidx_01/42cfr2_01.html
Managed Behavioral Healthcare Organization Accreditation Program	National Committee for Quality Assurance, www.ncqa.org/tabid/94/Default.aspx
Medicare Benefit Policy Manual for Inpatient Psychiatric Hospital Services	CMS, www.cms.hhs.gov/manuals/Downloads/bp102c02.pdf
Psychiatric residential treatment provider certification	CMS, www.cms.hhs.gov/CertificationandCompliance/13_PRTFs.asp#TopOfPage
State Operations Manual for Psychiatric Hospitals	CMS, www.cms.hhs.gov/CFCsAndCoPs/downloads/som107ap_aa_psyc_hospitals.pdf

Practice Setting: Clinical Research

Recommended Resource	Source
Animal welfare	National Archives and Record Administration, Code of Federal Regulations, www.access.gpo.gov/nara/cfr/waisidx_02/9cfrv1_02.html
Office for Human Research Protections	HHS, www.hhs.gov/ohrp
Privacy rule and information for researchers	National Institutes of Health, privacyruleandresearch.nih.gov
Protection of Human Subjects (45 CFR 46)	National Archives and Record Administration, Code of Federal Regulations, www.access.gpo.gov/nara/cfr/waisidx_01/45cfr46_01.html

Practice Setting: Corrections

Recommended Resource	Source
Standards for Correctional Health Care	American Correctional Association, www.aca.org/standards/healthcare
Standards for Health Services in Jails	National Commission on Correctional Health Care, www.ncchc.org/pubs/catalog.html#standards
Standards for Health Services in Juvenile Detention and Confinement Facilities	National Commission on Correctional Health Care, www.ncchc.org/pubs/catalog.html#standards
Standards for Health Services in Prisons	National Commission on Correctional Health Care, www.ncchc.org/pubs/catalog.html#standards

Practice Setting: Critical Access Hospitals

Recommended Resource	Source
Certification and compliance	CMS, www.cms.hhs.gov/CertificationandComplianc/04_CAHs.asp#TopOfPage
Guidance for laws and regulations for critical access hospitals	CMS, www.cms.hhs.gov/GuidanceForLawsAndRegulations/04_CAHs.asp
Standards for critical access hospitals	The Joint Commission, www.jointcommission.org/AccreditationPrograms/CriticalAccessHospitals
State Operations Manual	CMS, www.cms.hhs.gov/manuals/downloads/som107c01.pdf

Practice Setting: End Stage Renal Disease/Renal Dialysis

Recommended Resource	Source
Conditions of Participation (42 CFR Part 405.2100, Subpart U)	National Archives and Records Administration, Code of Federal Regulations, http://frwebgate.access.gpo.gov/cgi-bin/get-cfr.cgi?TITLE=42&PART=405 &SECTION=2100&YEAR=2001&TYPE=PDF
Dialysis	CMS, www.cms.hhs.gov/GuidanceForLawsAndRegulations/05_Dialysis.asp
End Stage Renal Disease Quality Initiative	CMS, www.cms.hhs.gov/ESRDQualityImproveInit
ESRD General Information	CMS, www.cms.hhs.gov/ESRDGeneralInformation
Medicare Benefit Policy Manual	CMS, www.cms.hhs.gov/manuals/Downloads/bp102c11.pdf
Medicare Coverage of Kidney Dialysis and Kidney Transplant Services	HHS, www.medicare.gov/Library/PDFNavigation/PDFInterim.asp?Language=English &Type=Pub&PubID=10128
Medicare ESRD Center	CMS, www.cms.hhs.gov/center/esrd.asp
National Voluntary Consensus Standards for End Stage Renal Disease Care	National Quality Forum, www.qualityforum.org/projects/ongoing/esrd/index.asp

Practice Setting: Government Healthcare Facilities

Recommended Resource	Source
Federal Privacy Act of 1974 (Pub. L. 93-579, Dec. 31, 1974, 88)	US Department of Justice, www.usdoj.gov/04foia/privstat.htm
Freedom of Information Act	US Department of Justice, www.usdoj.gov/oip/04_3.html

Practice Setting: Home Health

Recommended Resource	Source
Comprehensive Accreditation Manual for Home Care	The Joint Commission, www.jointcommission.org/AccreditationPrograms/HomeCare
Conditions of Participation for Home Health (42 CFR 484)	National Archives and Records Administration, Code of Federal Regulations, www.access.gpo.gov/nara/cfr/waisidx_99/42cfr484_99.html
Interpretive guidelines for Conditions of Participation	CMS, www.cms.hhs.gov/GuidanceforLawsAndRegulations/06_HHAs.asp
Medicare Benefit Policy Manual	CMS, www.cms.hhs.gov/manuals/IOM
Medicare Home Health Prospective Payment System	CMS, www.cms.hhs.gov/manuals/Downloads/bp102c07.pdf
OASIS	CMS, www.cms.hhs.gov/OASIS
Office of Inspector General Compliance Program Guidance	Department of Health and Human Services Office of Inspector General, http://oig.hhs.gov/authorities/docs/cpghome.pdf
Standards of practice for community health	Community Health Accreditation Program, www.chapinc.org

Practice Setting: Hospice

Recommended Resource	Source
Comprehensive Accreditation Manual for Home Care	The Joint Commission, www.jointcommission.org/AccreditationPrograms/HomeCare
Conditions of Participation for Hospices (42 CFR 418)	National Archives and Records Administration, Code of Federal Regulations, www.access.gpo.gov/nara/cfr/waisidx_01/42cfr418_01.html
Medicare Benefit Policy Manual Coverage of Hospice Services Under Hospital Insurance	CMS, www.cms.hhs.gov/manuals/Downloads/bp102c09.pdf
Medicare Claims Processing Manual	CMS, www.cms.hhs.gov/manuals/downloads/clm104c11.pdf
Office of Inspector General Compliance Program Guidance	Department of Health and Human Services Office of Inspector General, http://oig.hhs.gov/authorities/docs/hospicx.pdf
Quality Partners Self-Assessments	National Hospice and Palliative Care Organization, www.nhpco.org/i4a/pages/index.cfm?pageid=4990

Standards of practice for community health	Community Health Accreditation Program, www.chapinc.org

Practice Setting: Long Term Care

Recommended Resource	Source
Long Term Care Accreditation Program	The Joint Commission, www.jointcommission.org/AccreditationPrograms/LongTermCare
Minimum Data Set 3.0	CMS, www.cms.hhs.gov/NursingHomeQualityInits/25_NHQIMDS30.asp
Nursing Home Quality Initiatives	CMS, www.cms.hhs.gov/NursingHomeQualityInits/01_overview.asp
Office of Inspector General Compliance Plan for Nursing Facilities	Department of Health and Human Services Office of Inspector General, http://oig.hhs.gov/authorities/docs/cpgnf.pdf
Skilled Nursing Facility Center	CMS, www.cms.hhs.gov/center/snf.asp
Skilled Nursing Facility Prospective Payment System	CMS, www.cms.hhs.gov/SNFPPS/01_overview.asp
State Operations Manual	CMS, www.cms.hhs.gov/manuals/downloads/som107c07.pdf

Practice Setting: Managed Care

Recommended Resource	Source
Health Network Accreditation	URAC, www.urac.org/programs/prog_accred_HN_po.aspx?navid=accreditation&pagename =prog_accred_HN
Health Plan Employer Data and Information Set (HEDIS) products	National Committee for Quality Assurance, www.ncqa.org/tabid/59/Default.aspx
Managed Behavioral Healthcare Organization Accreditation Program	National Committee for Quality Assurance, www.ncqa.org/tabid/94/Default.aspx
MCO Accreditation Program	National Committee for Quality Assurance, www.ncqa.org/tabid/67/Default.aspx
Medicare Managed Care Manual	CMS, www.cms.hhs.gov/Manuals/Downloads/mc86c05.pdf
Physician Organization Certification Program	National Committee for Quality Assurance, www.ncqa.org/tabid/128/Default.aspx

Preferred Provider Organization Accreditation Program	National Committee for Quality Assurance, www.ncqa.org/tabid/99/Default.aspx
Workers' Compensation Accreditation Program	URAC, www.urac.org/programs/prog_accred_WCUM_po.aspx?navid=accreditation&pagename =prog_accred_WCUM

Practice Setting: Physical Medicine and Rehabilitation

Recommended Resource	Source
Certification and compliance for outpatient rehabilitation providers	CMS, www.cms.hhs.gov/CertificationandComplianc/15_OutpatientRehab.asp
CMS guidance to laws and regulations for outpatient rehabilitation	CMS, www.cms.hhs.gov/GuidanceForLawsAndRegulations/15_OutpatientRehab.asp
Commission on Accreditation of Rehabilitation Facilities	www.carf.org
Conditions of Participation: Specialized Providers (42 CFR 485)	National Archives and Records Administration, Code of Federal Regulations, www.access.gpo.gov/nara/cfr/waisidx_01/42cfr485_01.html
Inpatient Rehabilitation Facilities	CMS, www.cms.hhs.gov/CertificationandComplianc/16_InpatientRehab.asp

Practice Setting: Substance Abuse

Recommended Resource	Source
National Outcome Measures	Substance Abuse and Mental Health Services Administration, http://nationaloutcomemeasures.samhsa.gov/outcome/index_2007.asp
Standards for behavioral health	Commission on Accreditation of Rehabilitation Facilities, www.carf.org/providers.aspx?Content=Content/Accreditation/Opportunities/BH/toc.htm The Joint Commission, www.jointcommission.org/AccreditationPrograms/BehavioralHealthCare
Substance Abuse Program Administrators Certification	The Substance Abuse Program Administrators' Certification Commission, www.sapacc.org
Substance abuse resources	Center for Substance Abuse Treatment, http://csat.samhsa.gov/resources.aspx

Substance abuse treatment practices	Center for Substance Abuse Treatment, http://csat.samhsa.gov/treatment.aspx

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The information contained in this practice brief reflects the consensus opinion of the the professionals who developed it. It has not been validated through scientific research.

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